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Instructions: Complete and submit this template per the established guidance and procedures. Delete this template instruction and note prior to submission.

Color coding of this template is as follows:

BLACK - standard text, sections may not be deleted, but may be revised if the PM determines it is not applicable as written

BLUE - text that must be filled-in/edited/removed

ORANGE – sample text that may be edited/removed, as applicable

**Small Business Innovation and Research (SBIR) Phase III
Derives, Extends, Completes (DEC) Statement**



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Project Title: [Insert Project Title] SBIR Phase III

Contract Structure: [Indefinite Delivery Indefinite Quantity/Stand-alone] Contract

Acquisition ID: [Insert Acquisition PIID]

Requiring/Client Organization: [Insert Client Organization Name]

Statement Prepared By: [Insert Preparer Name]

1.0 Scope of Work

This SBIR Phase III effort will...

[Insert contract scope – May use/adapt PWS/SOO/SOW scope]

The tasks which derive from, extend, and/or complete the Phase I and/or Phase II SBIR efforts are:

[Insert applicable requirements/tasks – May use/adapt headings or language from Requirements/Tasks of PWS/SOO/SOW]

2.0 Acquisition History

Contract Number	SBIR/STTR and Phase #	PoP/ Delivery Date	Total Value	Contract Type(s)	SBIR/STTR Topic #

3.0 DEC from Phase I and/or Phase II Work

This SBIR Phase III award will derive from, extend and/or complete the efforts funded in the SBIR Phase I/II contract(s) listed in Section 2.0.

[Insert language demonstrating how the Phase III contract scope detailed in Section 1.0 derives from, extends, and/or completes the work in the Phase I/II efforts listed in Section 2.0.]

The following table crosswalks Phase I/II topic scope items to contract objectives and focus areas:

Phase I Topic Scope Items	Contract Objectives	Contract Focus Areas



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Phase II Topic Scope Items	Contract Objectives	Contract Focus Areas

[Insert Contractor Name] is the identified industry partner performing under the SBIR topic(s) in Section 2.0 and resulting contract(s). The Phase I/II(s) was/were awarded to [Insert Contractor Name] and under the applicable SBIR and Small Business Technology Transfer (STTR) Program Policy Directive for work that derives from, extends, or completes efforts made under prior SBIR/STTR Funding Agreements.

4.0 Client Approval

Based on the information above, I approve the scope of work for this SBIR Phase III contract and concur that the scope derives from, extends, or completes the efforts made under the prior SBIR/STTR Funding Agreements listed in Section 2.0.

 [Client Name]
 [Client Job Title]
 [Client Organization]
 Email: [Client Email Address]
 Phone: [Client Phone Number]

 Date

5.0 Contracting Officer Concurrence

Based on the information above, I concur that the SBIR Phase III contract will derive from, extend, and/or complete the efforts made under the prior SBIR/STTR Funding Agreements listed in Section 2.0 and is authorized pursuant to 15 U.S.C. 638.

 [CO Name]
 Contracting Officer

 Date